



TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number **09/855,932**Filing Date **May 15, 2001**First Named Inventor **Tommy F. BROOKEY**Group Art Unit **1712**Examiner Name **Philip C. Tucker**Attorney Docket Number **1894-00733**

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☒ Amendment/Reply

☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment
(for an application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☒ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please
identify below):

Two (2) Assignments; and Postcard

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
Or
Individual Name**LESLIE V. PAYNE**

Signature

Date

MARCH 5, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: **March 5, 2002**.

Typed or Printed Name

CAROLYN NIVENS

Signature

Date

MARCH 5, 2002

FEE TRANSMITTAL
For FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/855,932
Filing Date	May 15, 2001
First Named Inventor	Tommy F. BROOKEY
Examiner Name	Philip C. Tucker
Group Art Unit	1712
Attorney Docket No.	1894-00733

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TOTAL AMOUNT OF PAYMENT \$ 110.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
- Deposit Account Number: 03-2769
Deposit Account Name: Conley, Rose & Tayon, P.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101 740	201 370	Utility filing fee	\$		
106 330	206 165	Design filing fee	\$		
107 510	207 255	Plant filing fee	\$		
108 740	208 370	Reissue filing fee	\$		
114 160	214 80	Provisional filing fee	\$		

SUBTOTAL (1) \$**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	*	- 20** = * x	18.00	= \$
Independent Claims	*	3** = * x	84.00	= \$
Multiple Dependent Claims			280.00	= \$ 00.00

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
103 18	203 9	Claims in excess of 20		
102 84	202 42	Independent Claims in excess of 3		
104 280	204 140	Multiple dependent claim, if not paid		
109 84	209 42	** Reissue independent claims over original patent		
110 18	210 9	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) \$

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105 130	205 65	Surcharge - late filing fee or oath			\$
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			\$
139 130	139 130	Non-English specification			\$
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination			\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			\$
115 110	215 55	Extension for reply within first month			\$
116 400	216 200	Extension for reply within second month			\$
117 920	217 460	Extension for reply within third month			\$
118 1,440	218 720	Extension for reply within fourth month			\$
128 1,960	228 980	Extension for reply within fifth month			\$
119 320	219 160	Notice of Appeal			\$
120 320	220 160	Filing a brief in support of an appeal			\$
121 280	221 140	Request for oral hearing			\$
138 1,510	138 1,510	Petition to institute a public use proceeding			\$
140 110	240 55	Petition to revive - unavoidable			\$
141 1,280	241 640	Petition to revive - unintentional			\$
142 1,280	242 640	Utility issue fee (or reissue)			\$
143 460	243 230	Design issue fee			\$
144 620	244 310	Plant issue fee			\$
122 130	122 130	Petitions to the Commissioner			\$
123 50	123 50	Petitions related to provisional applications			\$
126 180	126 180	Submission of Information Disclosure Stmt			\$
581 40	581 40	Recording each patent assignment per property (times number of properties)			\$
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			\$
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))			\$
179 740	279 370	Request for Continued Examination (RCE)			\$
169 900	169 900	Request for expedited examination of a design application			\$
Other fee (specify)		TERMINAL DISCLAIMER			\$110.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$110.00**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	LESLIE V. PAYNE	Registration No. (Attorney/Agent)	38,267	Telephone	(713) 238-8000
Signature	<i>Leslie V. Payne</i>	Date	March 5, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.